

環球證券轉戶書
GLOBAL SECURITIES TRANSFER FORM

證券賬戶號碼 Securities A/C No. :
結算賬戶號碼 Settlement A/C No. :
賬戶名稱 Account Name :

本人(等)現授權招商永隆銀行有限公司(「貴行」),就下列環球股份執行以下指示:(請勾選合適選項)
I/We hereby authorize CMB Wing Lung Bank Limited (“the Bank”) to carry out the following instructions in respect of the Global Stock(s) listed below: (please tick the applicable item(s))

- 接收由下述其他證券公司/交收對手之股份,並存入本人(等)之證券賬戶。
To receive the Stock(s) from the counterparty hereunder mentioned and to deposit the same to my/our securities account.
- 由本人(等)之證券賬戶將下述股份轉至下述交收對手。
To transfer the Stock(s) hereunder mentioned from my/our securities account to the counterparty hereunder mentioned.
- 由本人(等)之證券賬戶將下述股份轉至本人(等)在貴行其他證券賬戶。
To transfer the Stock(s) hereunder mentioned from my/our securities account to my/our other securities account in the Bank.
(其他證券賬戶號碼 Other Securities Account No. :)
- 其他 Others :

交收對手名稱 Name of Counterparty:		交收對手賬戶號碼 Account No. of Counterparty:		交收日期 Settlement Date:	
聯絡人姓名 Contact Person:		聯絡人電話 Tel No. of Contact Person:		聯絡人電郵 Email Address of Contact Person:	
市場 Market: <input type="checkbox"/> SG / <input type="checkbox"/> US / <input type="checkbox"/> Japan / <input type="checkbox"/> MAMK / <input type="checkbox"/> Other:					
(SG Market) CDP No. / DA Code:			(US Market) DTC Code: / Direct Registration System (DRS):		
股份代號 Stock Code	國際證券編碼 ISIN Code	股份名稱 Name of Stock	股份數量 No. of Share(s)	銀行專用 FOR BANK USE ONLY	
				Request No.	

客戶聲明 - 請填寫以下聲明 CLIENT DECLARATION - Please fill the following statements

1. 上述股票是否被歸類為“控制/受限制證券”? “控制/受限制證券”的額外託管費應按每月不低於市值0.035%(每年)的費率收取。 Are any of the stocks above classified as “control / restricted securities”? The additional custody fee for “control/restricted securities” shall be charged monthly at a rate of not less than 0.035% (annual) of the market value.	<input type="checkbox"/> 是 Yes <input type="checkbox"/> 否 No
2. 您是否是以上所列公司的“關聯人士 ^(註1) ”? Are you an “affiliate ^(Note 1) ” of any companies listed above?	<input type="checkbox"/> 是 Yes <input type="checkbox"/> 否 No

註 Note:

- 根據美國證券交易委員會規則第144條,關聯人士指可能會影響對發行人的控制的主管人員、董事或大股東或法人等人士。控制是指通過擁有證券的表決權、通過合同還是其他方式指導有關公司的管理和政策的權力。
According to SEC Rule 144, an affiliate is a person, such as an executive officer, a director or large shareholder or legal entity, who may influence control with the issuer. Control means the power to direct the management and policies of the company in question, whether through the ownership of voting securities, by contract, or otherwise.
- 以上轉移須不涉及實益擁有權的變更。
The above transfer is subject to NO CHANGE OF BENEFICIAL OWNERSHIP.
- 本人(等)同意接受《賬戶及服務一般條款》及支付額外託管費用。請在上述結算賬戶支取所需費用。
I/We agree to accept General Conditions for Account and Services and pay additional custody fee. Please debit the above settlement account for any costs or charges incurred.

戶主簽署 Signature of Account Holder(s)
日期 Date :

S.V.

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銀行專用 FOR BANK USE ONLY					
FOR HANDLING STAFF				FOR OPERATION USE	
Branch/ Dept	Hold Code C79 existed (For Securities Deposit)	Maker	Checker	Input	Authorized
	<input type="checkbox"/> Y <input type="checkbox"/> N				
Remark					